

CERTIFICATION UNDER 37 CFR §1.10

I hereby certify that this New Application and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date 11-16-99, in an envelope bearing "Express Mail Post Office To Addressee" Mailing Label Number E7259437089 US addressed to: Box Patent Application, Honorable Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Ali Kamarei

(Name of person mailing paper)

[Signature]  
Signature

SMARTTOUCH, Inc.  
727 Allston Way  
Berkeley, California 94710  
510-843-3034

JC675 U.S. PTO  
09/441107  
11/16/99

Honorable Commissioner of Patents  
and Trademarks  
BOX PATENT APPLICATION  
Washington, D.C. 20231

Attorney's Docket No. STA-22

NEW APPLICATION TRANSMITTAL

Sir:

Transmitted herewith for filing is the patent application of Inventor(s): Ned Hoffman

Title: System and Method For Tokenless Biometric Electronic Scrip

Enclosed are:

The papers required for a filing date under CFR §1.53(b):

[X] 51 Pages of specification (including 44 claim(s)); 5 Sheets of drawings.  
[ ] formal  
[X] informal

[X] Declaration or Oath

[X] Power of Attorney

[X] Assignment + cover sheet

[X] Fee Calculation

[ ] Amendment changing number of claims or deleting multiple dependencies is enclosed.

CLAIMS AS FILED

	Filed	Extra	Rate	Basic Fee \$760
Total Claims	44-20	22	\$ 18.	\$ 396
Independent Claims	3-3	0	\$ 78.	\$ 0
Multiple Claims	-	-	\$ 270.	\$ 0

Filing Fee Calculation

\$ 1,156.

6. ☒ Small Entity Statement - verified statement enclosed.

50% Filing Fee Reduction (if applicable) \$ 578.

7. ☒ Other Fees

☒ Recording Assignment [\$40.00] \$ 40.00

☐ Other fees

Specify \_\_\_\_\_ \$ \_\_\_\_\_

Total Fees Enclosed . . . . . \$ 618.

8. Payment of Fees

☐ Check(s) in the amount of \$ \_\_\_, is enclosed.

☒ Charge Account No. 19-2551 in the amount of \$ 618. **A duplicate of this transmittal is attached.**

9. ☒ The Commissioner is hereby authorized to charge any additional fees (or credit any overpayment) associated with this communication and which may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 19-2551. **A duplicate sheet is attached.**

10. ☐ Information Disclosure Statement

☒ Return Receipt Postcard

☒ Other: Specify Certification Under 37 CFR 3.73(B)

By: \_\_\_\_\_

Name: Ali Kamarei

Registration No. 37,000

Dated: \_\_\_\_\_

11-16-99

Applicant or Patentee: Hoffman, et al.  
Serial or Patent No.: N/A  
Filed or Issued: \_\_\_\_\_

Attorney's  
Docket No.: ST-A22

For: System and Method For Tokenless Biometric Electronic Scrip

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS [37 CFR 1.9(f)] - SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: SMARTTOUCH, INC.

ADDRESS OF CONCERN: 727 Allston Way, Berkeley, California 94710

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled System and Method For Tokenless Biometric Electronic Scrip inventor(s) Hoffman, et al. described in

☒ the specification filed herewith.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time or paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. [37 CFR 1.28(b)]

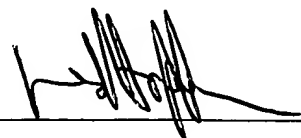
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Ned Hoffman

TITLE OF PERSON OTHER THAN OWNER: Vice President

ADDRESS OF PERSON SIGNING: SMARTTOUCH, Inc., 727 Allston Way, Berkeley, California 94710

SIGNATURE



DATE

11/12/99